



5,689,873

GP 3627

PTO/SB/21 (12/97)

Approved for use through 9/30/2000. OMB 0651-0031  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)		Patent No.	5,689,873
		Issue Date	November 25, 1997
		First Named Inventor	Ralph Luhm
		Group Art Unit	3627
		Examiner Name	Fred Conley
Total Number of Pages in This Submission	4	Attorney Docket Number	003471.P010R

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**ENCLOSURES (check all that apply)**

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**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual name	James Henry, Reg. No. 41,064 BLAKELEY, SOKOLOFF, TAYLOR & ZAFMAN LLP
Signature	
Date	November 20, 2000

**CERTIFICATE OF MAILING/TRANSMISSION**

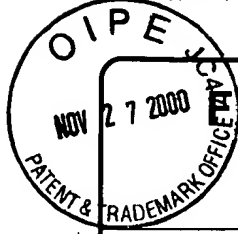
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PTO/SB/17 (12/99)  
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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

# **FREE TRANSMITTAL for FY 2000**

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$)

## **Complete if Known**

Patent No. 5,689,873  
Issue Date November 25, 1997  
First Named Inventor Ralph Luhm  
Examiner Name Fred Conley  
Group/Art Unit 3627  
Attorney Docket Number 003471.P010R

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## **METHOD OF PAYMENT (check one)**

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 02-2666

Deposit Account Name Blakely, Sokoloff, Taylor & Zafman LLP

☒ Charge Any Additional Fee(s) Required Under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.

☒ Applicant claims small entity status. See 37 CFR 1.27.

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other

## **FEE CALCULATION**

1. BASIC FILING FEE				Fee Description	Fee Paid
Large Entity	Small Entity	Fee Code	Fee (\$)		
		101	710	Utility filing fee	
		106	320	Design filing fee	
		107	490	Plant filing fee	
		108	710	Reissue filing fee	
		114	150	Provisional filing fee	

SUBTOTAL (1) (\$)

2. EXTRA CLAIM FEES

Extra Claims	Fee from below	Fee Paid
Total Claims Independent Claims		
Multiple Dependent		

\*\*or number previously paid, if greater. For Reissues, see below

2. EXTRA CLAIM FEES				Fee Description	Fee Paid
Large Entity	Small Entity	Fee Code	Fee (\$)		
		103	18	Claims in excess of 20	
		102	80	Independent claims in excess of 3	
		104	130	Multiple Dependent claim, if not paid	
		109	80	**Reissue independent claims over original patent	
		110	18	**Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$)

## **FEE CALCULATION (continued)**

### **3. ADDITIONAL FEE**

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for response within first month	
116	390	216	195	Extension for response within second month	
117	890	217	445	Extension for response within third month	
118	1,390	218	695	Extension for response within fourth month	
128	1,890	228	945	Extension for response within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	130	123	130	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	Filing a submission after final rejection (37 CFR 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_  
Other fee (specify) \_\_\_\_\_

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

## **SUBMITTED BY**

Complete (if applicable)

Name (Print/Type)	James Henry	Registration No. (Attorney/Agent)	41,064	Telephone	(714) 557-3800
Signature		Date	11/20/00		

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PTO/SB/122 (10-00)  
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## CHANGE OF CORRESPONDENCE ADDRESS *Application*

Address to:  
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Patent No.	5,689,873
Issue Date	11/25/97
First Named Inventor	Ralph Luhm
Group Art Unit	3627
Examiner Name	Fred Conley
Attorney Docket Number	042390.P9999

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- ☐ Assignee of record of the entire interest.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).
- ☒ Attorney or Agent of record.
- ☐ Registered practitioner named in the application transmittal letter in an application without an executed oath or declaration. See 37 CFR 1.33(a)(1). Registration Number \_\_\_\_\_

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Name

James Henry, Reg. No. 41,064

Signature

Date

November 20, 2000

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

☐ \*Total of \_\_\_\_\_ forms are submitted.

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